

09-15-00

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JC920 U.S. PTO

09/662195



09/14/00

DATE: September 14, 2000

EXPRESS MAIL NO.: EE441114618US

Commissioner for Patents  
Washington, DC 20231

Attorney Docket No.:2000200-0003

TRANSMITTAL LETTER FOR SMALL ENTITY APPLICATION

Dear Sir:

Please find enclosed a patent application and formal papers as follows:

Applicant(s): Johnson, et al.

Title: APPLICATION OF WATER NANOCLUSTERS TO SKIN

No. Pages Specification: 17; No. Pages Claim 4 No. Pages Drawings 10;  
No. Pages Abstract 1:

Basic Filing Fee (Small Entity): \$345.00

Additional Fees:

Total Number of Claims in excess of 20 times \$9: (4 X \$9) \$36.00

Number of Independent Claims minus 3 times \$39: (0 X \$39) \$0.00

Multiple Dependent Claims (\$130)(\$260): \$0.00

Total Filing Fee: \$381.00



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09662195-091400

Also enclosed is: Executed Statement Claiming Small Entity Status  
Executed Right of Assignee Form  
Executed Declaration  
Executed Appointment of Attorney  
Copy of Executed Assignment  
Information Disclosure Statement  
Check in the amount of **\$381.00**

If this application is found otherwise to be INCOMPLETE, or if at any time it appears that a TELEPHONE CONFERENCE with counsel would helpfully advance prosecution, please telephone the undersigned at any time.

Please charge any additional fees or credit any overpayments which may be required to our Deposit Account No. 03-1721.

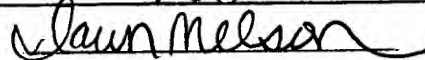
Kindly acknowledge receipt of the foregoing application by returning the self-addressed postcard.

Respectfully submitted,



Brenda Herschbach Jarrell, Ph.D.  
Registration Number 39,223

I hereby certify that this correspondence is being  
deposited with the United States Postal Service as first  
class mail in an envelope addressed to: Assistant  
Commissioner For Patents, Washington, D.C. 20231  
on 9-14-00



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Johnson, et al.

Serial No.:

Filed:

For: APPLICATION OF WATER NANOCCLUSERS TO SKIN

Commissioner for Patents

Washington, DC 20231

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS

37 CFR 1.9(f) and 1.27(c)

SMALL BUSINESS CONCERN

I hereby declare that I am

( ) the owner of the small business concern identified below:

(X) an official empowered to act on behalf of the small business concern identified below:

NAME OF CONCERN:

Quantum Energy Technologies Corporation

ADDRESS OF CONCERN:

238 Main Street, Suite 201

Cambridge, MA 02142

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For the purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full time, part time, or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when, either directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract of law have been conveyed to and remain with the small business concern identified above with regard to the invention titled:

TITLE:

APPLICATION OF WATER NANOCCLUSERS TO SKIN

by:

INVENTOR(S):

Keith H. Johnson

described in:

09662195-091400

- (X) the specification filed herewith  
( ) U.S. Patent Application Serial Number \_\_\_\_\_ filed \_\_\_\_\_.  
( ) U.S. Patent Number \_\_\_\_\_ issued

If the rights of the above-identified small business concern are not exclusive, each individual, concern, or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor(s), who could not qualify as a small business concern under 37 CFR 1.9(d) or a non-profit organization under 37 CFR 1.9(e).

\*NOTE: Separate verified statements are required from each named person, concern, or organization having rights to the invention, averring to their status as small entities. (37 CFR 1.27).

FULL NAME: \_\_\_\_\_  
ADDRESS: \_\_\_\_\_  
( ) INDIVIDUAL ( ) SMALL BUSINESS CONCERN ( ) NON-PROFIT ORGANIZATION

FULL NAME: \_\_\_\_\_  
ADDRESS: \_\_\_\_\_  
( ) INDIVIDUAL ( ) SMALL BUSINESS CONCERN ( ) NON-PROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: Eugene Berman  
TITLE IN ORGANIZATION: Vice President  
ADDRESS OF PERSON SIGNING 10-K Gill Street, Woburn, MA 01801

SIGNATURE:  DATE: 8/29/00